

EXHIBIT A

UNITED STATES BANKRUPTCY COURT
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: DEUTSCHE BANK AG
ATTN: CHIP GOODRICH, ESQ.
60 WALL STREET
NEW YORK NY 10005-2858

DEUTSCHE BANK AG
BINGHAM MCCUTCHEN LLP
ATTN: RANAN WELL, ESQ.
2020 K STREET, NW
WASHINGTON DC 20006

Please note that your claim # 26969-09 in the above referenced case and in the amount of
\$19,781,470.79 allowed at \$19,781,470.79 has been transferred (unless previously expunged by court order)

EMPYREAN INVESTMENTS, LLC
TRANSFEROR: DEUTSCHE BANK AG
C/O EMPYREAN CAPITAL PARTNERS, LP
ATTN: STERLING HATHAWAY
10250 CONSTELLATION BLVD., SUITE 2950
LOS ANGELES CA 90067

No action is required if you do not object to the transfer of your claim. However, IF YOU OBJECT TO THE TRANSFER OF
YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:

UNITED STATES BANKRUPTCY COURT
Southern District of New York
One Bowling Green
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 37266 in your objection.
If you file an objection, a hearing will be scheduled. IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL
BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.

Date: 05/14/2013

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first
class mail, postage prepaid on May 14, 2013.

EXHIBIT B

TIME: 12:57:57
DATE: 05/14/13
LEHMAN BROTHERS HOLDING INC.
CREDITOR LISTING
PAGE: 1

Name	Address
BRUINSMA, A.J.	PO BOX 5327 HELDERBERG 7135 SOUTH AFRICA
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DEUTSCHE BANK AG	BINGHAM MCCUTCHEN LLP ATTN: RANAN WELL, ESQ. 2020 K STREET, NW WASHINGTON DC 20006
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: DEUTSCHE BANK AG C/O EMPYREAN CAPITAL PARTNERS, LP ATTN: STERLING HATHAWAY 10250 CONSTELLATION BLVD, SUITE 2950 LOS ANGELES CA 90067
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GOLDMAN SACHS & CO.	TRANSFEROR: YORK CAPITAL MANAGEMENT, LP ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: YORK CREDIT OPPORTUNITIES FUND, L.P. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
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LIQUIDITY SOLUTIONS, INC.	TRANSFEROR: BRUINSMA, A.J. ONE UNIVERSITY PLAZA SUITE 312 HACKENSACK NJ 07601
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